

Form Transfer of Certificates Triodos Bank

Please send the completed transfer request form to the address stated at the bottom of this form.

I wish to transfer Triodos Bank Depository Receipts (DRs) to a bank or broker as selected by me. I understand that Triodos Bank can only transfer DRs that consist of whole DRs and that if I hold fractions of DRs, Triodos Bank shall add a fraction of a DR to round up my fractional DR to the nearest whole number.

Explanation

'This form is to be used when requesting a transfer of your DRs to a broker or bank of choice.

- Only transfers of all your DRs are possible.
- Only transfers of whole DRs are possible, if you hold fractions of DRs Triodos Bank will round up your fractional DR to the nearest whole number.
- You need to have a securities account at the receiving bank or broker in your name.
- Triodos Bank utilises Captin B.V. and ABN AMRO N.V. to ensure the proper transfer of the DRs
- Note that the transfer may take several days to several weeks, depending on your bank, broker or country.
You will get notification from your bank or broker when the transfer is completed.

1. Private account holder or organisation data

1.1 Required private account holder data

Triodos investment account number:

Full name of account holder(s):

E-mail address:

Second account Holder data (If applicable)

Full name of account holder(s):

E-mail address:

1.2 Required data Organisation

Investment account number:

Name organisation:

E-mail address:

2. Receiving Bank or Broker

2.1 Required data account holder or organisation receiving bank or broker

Full name of account:

Explanation

Please give the exact name as printed on statements of your bank or broker. We can only accept this form if this name is the same as your account at Triodos. The only exception is for inheritances.

Investment account number:

2.2 Details of receiving Bank or Broker

Name of the broker or bank that
the certificates are transferred to:

Country:

BIC:

Explanation

Please make sure you provide the correct BIC. If you're not sure about the provided BIC, contact the receiving Bank or Broker for the correct BIC. Without the correct BIC the transfer cannot be processed.

Contact information:

Explanation

Please provide the email address of the transfer department of the receiving bank or broker.

3. Terms

I understand that Triodos can only transfer portfolios that consist of whole Depository Receipts. I understand that, in view thereof, if I hold fractions of Depository Receipts, Triodos shall add a fraction of a Depository Receipt to round up my fractional Depository Receipt to the nearest whole number. This rounding up shall take the form of an assignment (cessie) of the fractional Depository Receipts transferred by Triodos to me. I am not required to pay Triodos for such fraction. I hereby accept the receipt of this fractional Depository Receipt and that Triodos shall notify any relevant parties in respect of the rounding up.

The addition of fractions is legally considered a (free) offer of securities in the Netherlands. As a result, Triodos Bank must comply with certain legal requirements in the Netherlands before the fractions are added. One of these requirements is to provide an information document to DR holders who hold fractions. Dutch law prescribes the content of this information document, including certain warnings. You can download the information document [here](#). This information document should not be confused with the much more extensive prospectus that will be published in connection with the listing of the certificates on Euronext Amsterdam.

Explanation

Here you indicate that you understand that Triodos Bank can only transfer complete DRs. If you also own fractions of DRs (which is the case if you do not have a whole number of DRs), then Triodos Bank will ensure that your total number of DRs becomes a whole number. This is done by adding a small extra portion of a DR to your holdings, so that you have a complete number of DRs. The information document is a requirement under Dutch law and is therefore only legally required in the Netherlands. However, we believe it is important that all DR holders receive the same information, so we have also made the document available in English [here](#).

I hereby instruct Triodos to subsequently transfer the Depository Receipts held via my Triodos account to my receiving securities account as detailed in this form. I understand that Triodos is not providing an investment service to me nor any other service than facilitating the transfer of my portfolio of Depository Receipts.

Explanation

Here you instruct Triodos Bank to transfer your Triodos Bank Certificates that are held in your Triodos account to your receiving securities account as described in this form. It is important to understand that Triodos Bank does not offer investment services to you. This means that Triodos Bank does not provide advice on how you should invest or which investments you should make, and Triodos Bank also does not execute orders.

The following power of attorney is required to transfer your DRs to the securities account you have specified, and prepare for listing on Euronext in general. I hereby grant an irrevocable power of attorney to Triodos Bank N.V. to carry out all (legal) acts on and for my risk and account, that Triodos Bank N.V. deems necessary or desirable to convert the DRs held by me into book-entry form and for the completion of this process to bring about the delivery of DRs to Euroclear for the purposes of entry in the so-called giro depot with Euroclear and transferring my DRs to the securities account specified by me. This power of attorney extends to carrying out such acts via a third party or intermediary which may also use this power of attorney – this is called the right of substitution. This includes (but is not limited to) transferring, assigning and delivering the DRs and the (digitally) signing of (private) deeds to accomplish the aforementioned delivery to Euroclear.

- This power of attorney shall not terminate due to my death or placement under guardianship
- This power of attorney has expressly been granted to include the right of substitution (recht van substitutie) as meant in Section 64 of Book 3 of the Dutch Civil Code.
- This power of attorney is construed and governed by Dutch law.

Explanation of the Power of Attorney:

This power of attorney is a written authorization that you give to Triodos Bank. By doing this, you authorise Triodos Bank that they may perform certain actions on your behalf with your DRs. This is necessary to ensure that everything goes smoothly when you want to trade these DRs on the stock exchange (Euronext).

What does this mean exactly?

Converting to book-entry form: This means that your DRs will be converted into a form that can be traded on the stock exchange. This ensures that your DRs can be bought or sold on Euronext Amsterdam.

Right of Substitution: This means that Triodos Bank has the authority to engage someone else to perform certain tasks instead of just Triodos Bank itself. This applies when a third party can assist better with the process.

What can Triodos Bank do with this power of attorney?

With this power of attorney, Triodos Bank can take all necessary steps, such as:

- *Transferring or delivering your DRs.*
- *Delivering them to an organization called Euroclear, which ensures the settlement of transactions made on Euronext.*
- *Signing documents that are necessary to complete this transfer into book-entry form and for the completion of this process to bring about the delivery of DRs to Euroclear for the purposes of entry in the giro depot.*

Important points:

- *This power of attorney remains valid, even if something happens to you, such as death or if you are temporarily unable to make decisions.*
- *Dutch law applies to this power of attorney.*

I understand that after my request has been carried out, Triodos shall terminate the administration of my Depository Receipts on my Triodos Account.

4. Signature

Full name of account holder: _____

Place: _____ Date (dd/mm/yyyy): _____

Signature: _____

Full name of account holder: _____

Place: _____ Date (dd/mm/yyyy): _____

Signature: _____

Full name of account holder: _____

Place: _____ Date (dd/mm/yyyy): _____

Signature: _____

Full name of account holder: _____

Place: _____ Date (dd/mm/yyyy): _____

Signature: _____

Please send the completed transfer request form to our Freepost address (no stamp required):
Freepost TRIODOS BANK